

#2 JHU 3-902
Patent
Attorney Docket: 270/098

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

J. Sauer

Serial No.: Not yet assigned

Filed: Herewith

For: METHOD OF TREATING AN
ALUMINUM SURFACE

) Group Art Unit: 1733 (parent)
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Examiner: J. Gallagher (parent)
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11011 U.S. PRO
10/002161
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INFORMATION DISCLOSURE STATEMENT

Box PATENT APPLICATION

Commissioner for Patents
Washington, DC 20231

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, Applicant hereby discloses to the Office the items identified in this Information Disclosure Statement ("IDS"). The items are listed on the attached forms PTO-1449.

Applicant has not provided copies of the listed references, which were submitted in parent case 08/860,762. Under 37 C.F.R. § 1.98(d), Applicant need not submit copies of items submitted in a parent case.

The items identified in this IDS may or may not be "material" pursuant to 37 C.F.R. § 1.56. The submission thereof by Applicant is not to be construed as an admission that any such patent, publication, or other information referred to therein is material or considered to be material (see 37 C.F.R. § 1.97(h)) or qualifies as "prior art" under 35 U.S.C. § 102 with respect to this invention, unless specifically designated by Applicant as such.

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This IDS is filed under 37 C.F.R. § 1.97(b)(3) before the mailing of a first Office action on the merits. Therefore no fee is due. However, if the Office disagrees, please consider this IDS as filed under 37 C.F.R. § 1.97(c) and charge the fee set forth in 37 C.F.R. § 1.17(p) to the Deposit Account given below.

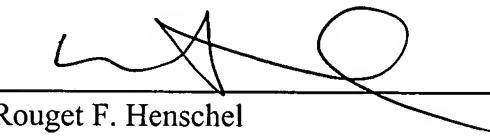
Please charge or credit Deposit Account No. 12-2475 for all fees as needed.

Respectfully submitted,

LYON & LYON LLP

Dated: 5-DEC-2001

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